tify that this correspondence is being deposited with the United States Postal Service as mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, dria, VA 22313-1450 on March 23, 2005.

PATENT

Date of Signature March 23, 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: DUKE UNIVERSITY

Group Art Unit: 1636

Serial No.: 10/501,756

**Examiner: Not Assigned** 

Filed: December 14, 2004

Docket No.: 180/137/PCT/US

Confirmation No.: 3099

GENERATION OF RECOMBINANT ADENO- ASSOCIATED VIRAL VECTORS

BY A COMPLETE ADENOVIRUS-MEDIATED APPROACH

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.56, 1.97, and 1.98, applicants' undersigned attorney brings to the attention of the Patent and Trademark Office the documents listed on the attached Form PTO-1449. Copies of the references as well as Form PTO-1449 are attached hereto. This is not to be construed as a representation that a search has been made or that a reference is relevant merely because cited.

No fee under 37 C.F.R. Section 1.17(p) is due as the undersigned hereby certifies that each item of information contained in this statement was cited in a communication

Serial No.: 10/501,756

from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Early passage of the subject application to issue is earnestly solicited.

Although it is believed that no fee is due, the Commissioner is hereby authorized to charge any fees associated with the filing of this Information Disclosure Statement to Deposit Account No. 50-0426.

Respectfully submitted,

JENKINS, WILSON & TAYLOR, P.A.

Távlor, Jr.

Registration No. 39,395

AAT/cab

**Enclosures** 

Customer No: 25297

FORM P	ΓΟ, <b>1</b> %	49 U.S. Departme	nt of (	Commerce	Application No.:	10/501,756		
Patent and Trademark Office Filing Date: December 14, 20								
First Named Inventor: Li, et. al.								
List of Documents Cited by Applicant  Group: 1636								
Examiner:							Unknown	
						Attorney Docket No.:	180/137/PCT/US	
-				U.S. PATE	ENT DO	DCUMENTS		
Examiner Initial	Cite No.	Document Number	Publication Date		Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, where relevant passages or relevant figures appear	
	1.	6,294,370	9/25/2001		Bogedain et al.			
	2.	5,871,982 2/16/1999 Wils		Wilso	n et al.			
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Examiner Initials	Cite No.	Document Numbe (country code, no., k code (if known)			n Date	Date Name of Patentee or Applicant	Pages, columns, lines where relevant passages appear	Τ
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	J		1	OTHER	R DOCL	JMENTS		·
Examiner Initials	Cite No.	Include Author (in CAPITAL LETTERS), Title, Journal, Date, Pertinent Pages, Etc.						
	3. ZHANG et al., Recombinant Adenovirus Expressing Adeno-Associated Virus Cap And Rep Proteins Supports Production Of High-Titer Recombinant Adeno- Associated Virus, Gene Therapy, 8; pages 704-712, 2001.							-
	4. International Search Report for corresponding PCT Appl. No. PCT/US03/01624 dated December 22, 2004.							
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<sup>\*</sup>Examiner Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



patent attorneys

March 23, 2005

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Alexandria, VA	22313-1450 on March 18, 2005.

Date of Signature: March 23, 2005

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U.S. Patent Application Serial No. 10/501,756 for Re: GENERATION OF RECOMBINANT ADENO-

ASSOCIATED VIRAL VECTORS BY A COMPLETE

ADENOVIRUS-MEDIATED APPROACH

Our Ref. No. 180/137/PCT/US

MICHAEL J. CROWLEY

\*CHRIS PERKINS, PH.D.

Sir:

"JAMES DALY IV, PH.D.

JEFFREY CHILDERS, PH.D.

THEODOSIOS THOMAS

OF COUNSEL SOROJINI BISWAS

LICENSED ONLY IN CA "LICENSED ONLY IN KY

Please find enclosed in connection with the subject U.S. patent application the following documents:

- 1. Information Disclosure Statement (2 pages);
- 2. Form PTO-1449 (1 page) in duplicate;
- Copies of cited references (4 references); and 3.
- A return-receipt postcard to be returned to us with the U.S. Patent 4. and Trademark Office filing stamp thereon.

The Commissioner is hereby authorized to charge any fees associated with the filing of this correspondence to Deposit Account No. <u>50-0426</u>.

Respectfully submitted,

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Arles A. Taylor, Jr.

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AAT/cab **Enclosures** 

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